

# **4<sup>th</sup> U.S.-China Energy Efficiency Forum September 25, 2013**

Compiled Presentations from Track 1, Breakout  
Session 2/Afternoon

**High Performance Buildings**



Environmental Energy Technologies Division Lawrence Berkeley National Laboratory

# Collaboration on Building Code and Labeling System

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China Energy Group

Lawrence Berkeley National Laboratory

September 25, 2013

# Building Energy Efficiency Code and

## Concept

- Assist China develop commercial and residential building codes
- Disseminate building simulation tools (e.g. DOE-2) to China for building code development
- Help China to develop a window rating and labeling program

## Opportunity

- Evaluate energy savings of China's new commercial building code (GB50189-2013, 65% savings based on the 1980's baseline)
- Bring tools developed in the U.S. to China's building code development

## Successes

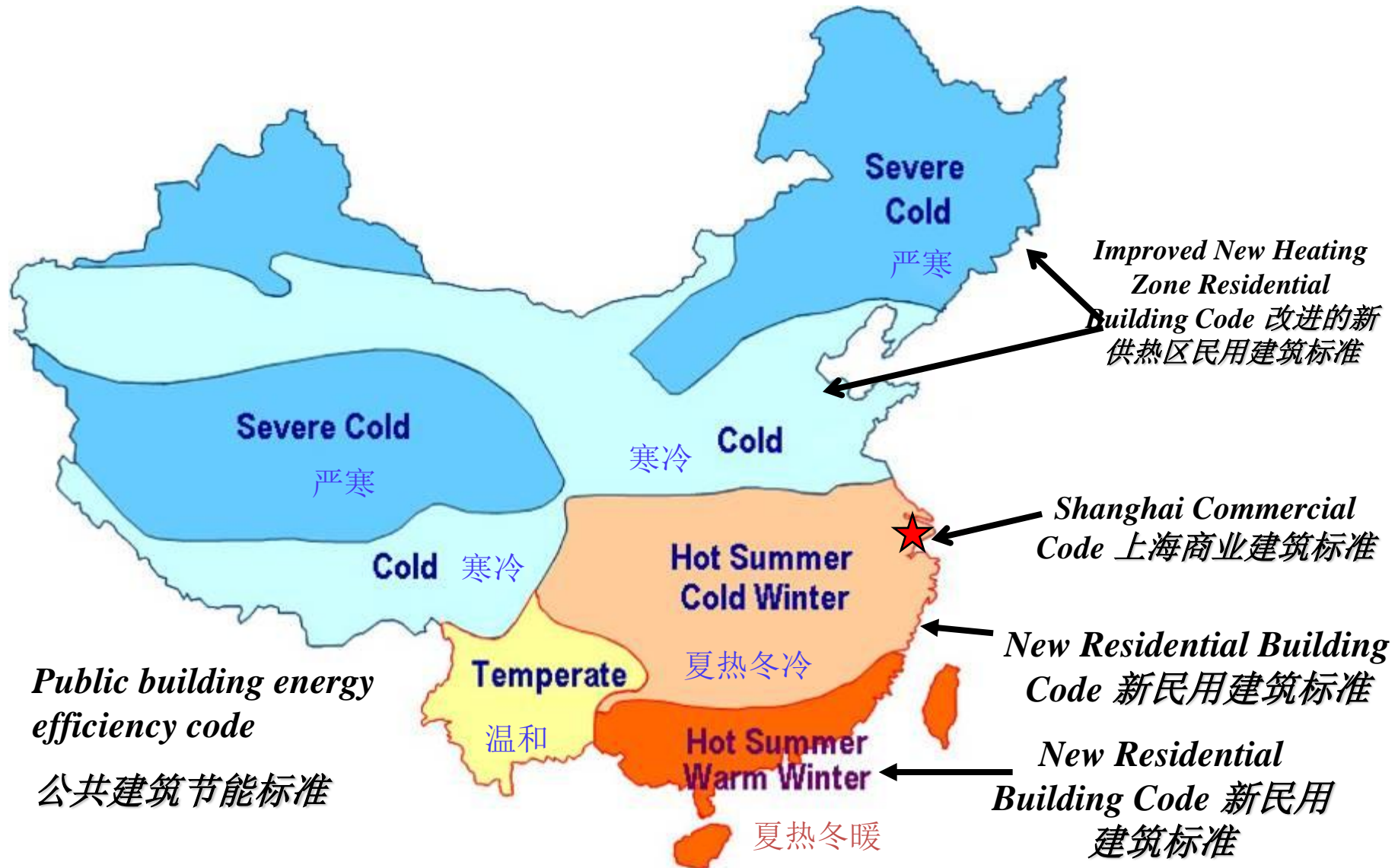
- Assisted drafting the first national building energy standard (GB50189-2005) development
- Participated in the development of residential energy standards for the Hot Summer Cold Winter region (JGJ134-2001)
- Help China setup a pilot window rating and labeling program, drawn from U.S NFRC. Pilot location was in Guangzhou province.
- Provided training of DOE-2 and other building simulation software

S

## Collaborators:

- China Academy of Building Research (CABR), Guangdong Institute of Building Research





# Building Energy Efficiency

## Collaboration with China

- US-China Agenda 21 energy efficient demonstration office building in Beijing; 中美21世纪节能示范办公楼 (美国能源部中国科技部合作项目, 1998年始, 2003年底完成)
- Establishment of energy efficiency design standard for residential buildings in the HotSummer Cold-Winter Region in Central China (promulgated Oct. 2001); 协助制定夏热冬冷地区住宅节能设计标准 (2001年10月颁布)
- Establishment of energy efficiency design standard for residential buildings in the HotSummer Warm-Winter Region in South China (promulgated Oct. 2003); 协助制定夏热冬暖地区住宅节能设计标准(2003年10月颁布)
- Development of national energy efficiency design standard for public buildings (expected completion end 2004); 协助制定国家公共建筑节能设计标准(2004年底完成)
- Pilot project on labeling and rating system for energy efficient windows (2002-2005), with demonstration project in Guangdong Province (2004-5). 节能门窗标签分级试验性计划 (2004-2005), 广东省试点项目(2004-2005)
- US-China Joint Working Group on Green Building Rating System in support of a Green 2008 Beijing Olympics (start 2002). 中美绿色奥运合作联合工作组绿色奥运建筑评估体系(2002年始)

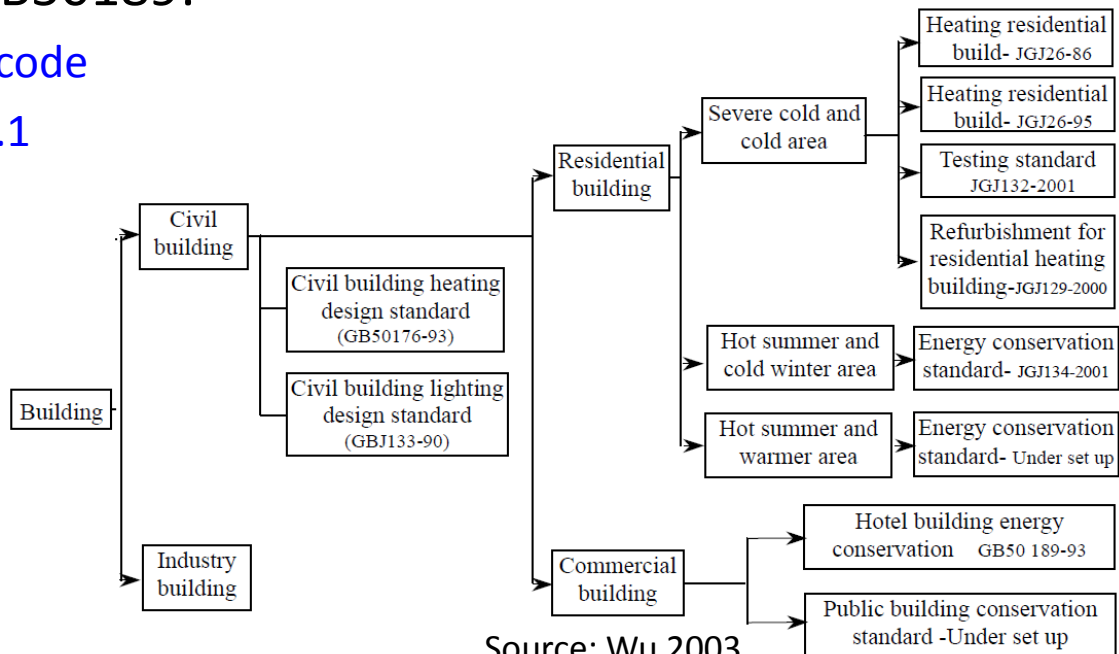
# Building Code Structure

Building codes in China:

- National level: one commercial building code (GB50189), and three residential building code (JGJ 26, 134, 75) – defined on climate zone basis
- Local level: provinces can have their own building code, some provincial level codes are stringent than national level code (e.g. Tianjin, Shanghai, Jiangsu..)

Commercial building code -- GB50189:

- China's commercial building code
  - equivalent to ASHRAE 90.1
- First released in 1993
  - with initial focus on hotel
- Last update in 2005
- New code will be release at the end of 2013



Source: Wu 2003

# Commercial building code

Commercial building code -- GB50189:

- Use 1980's commercial building characteristics as baseline (100%)
- Last update in 2005 achieved 50% energy savings from 1980's baseline
- New update in 2013 aims to achieve 65% energy savings → To be validated!

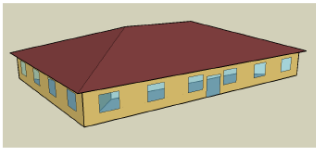
## Importance of commercial building code

- Commercial building floor space increases from 2.8 billion m<sup>2</sup> (1996) to 7.1 billion m<sup>2</sup> (2008). Approximately, 0.5 billion m<sup>2</sup> new construction was built per year.
- Per capital, increases from 7.4 m<sup>2</sup>/person (1996) to 11.5 m<sup>2</sup>/person (2008)
- Will continue to increase in next 20~30 years because of fast urbanization



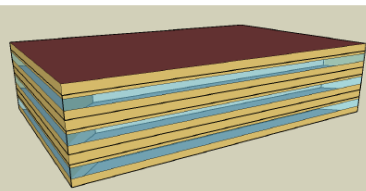
# Reference Buildings – Models to Support Building Codes

## Office Buildings

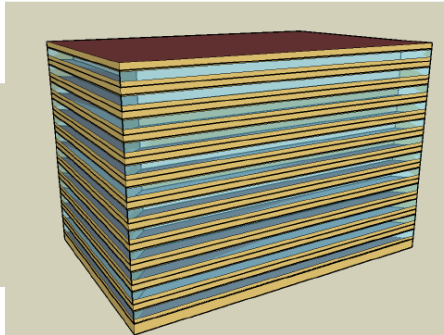


Small Office  
1 floor, 5,500 ft<sup>2</sup>

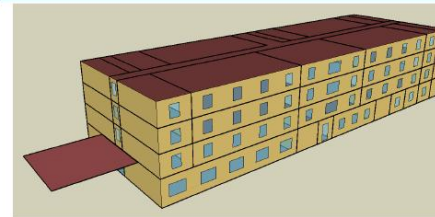
Medium Office  
3 floor, 53,630 ft<sup>2</sup>



Large Office  
12 floors, 498,588 ft<sup>2</sup>

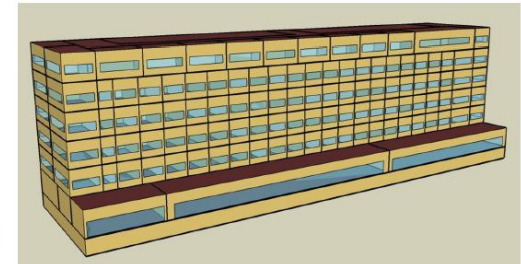


## Lodging

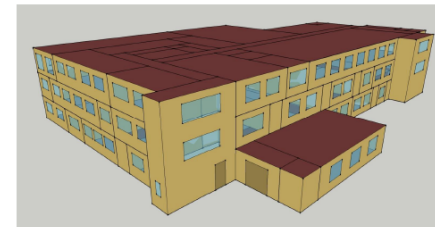


Small hotel  
4 floors, 43,200 ft<sup>2</sup>

Large hotel  
6 floors, 122,120 ft<sup>2</sup>

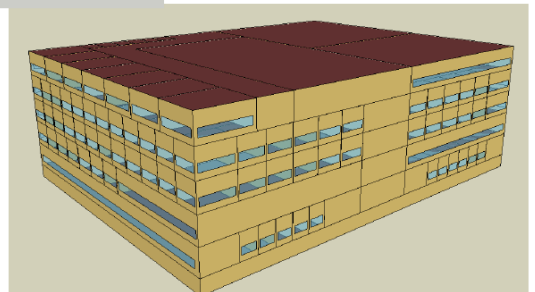


## Healthcare

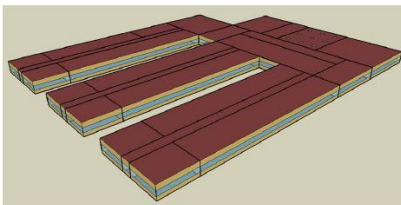


Outpatient Healthcare  
3 floors, 40,946 ft<sup>2</sup>

Hospital  
5 floors, 241,351 ft<sup>2</sup>

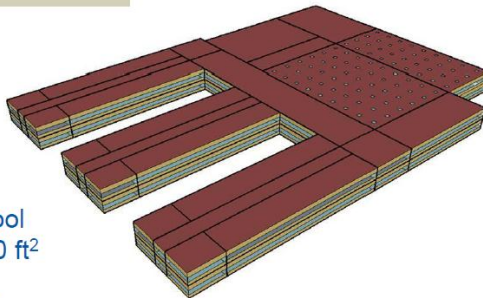


## Schools



Primary School  
1 floor, 73,960 ft<sup>2</sup>

16 X 16 X 3 = 768 models!

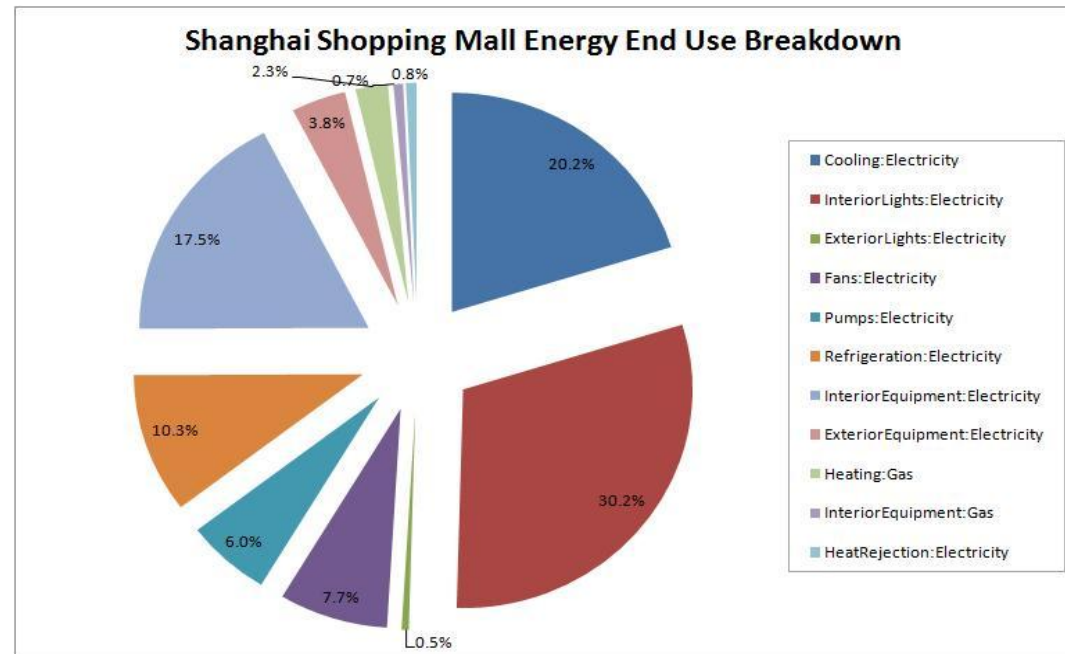
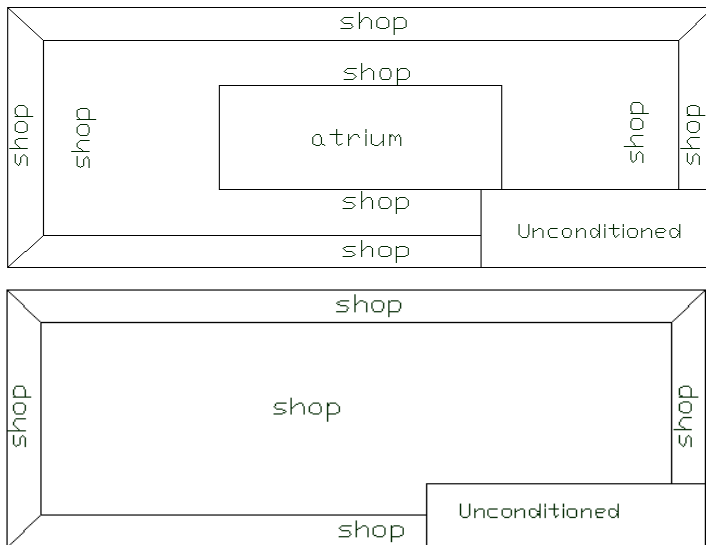


Secondary School  
2 floors, 210,890 ft<sup>2</sup>



## Reference Buildings – Models to Support Building Codes

- A Chinese shopping mall reference prototype developed by LBNL and its Chinese partners
- LBNL is working with CABR to identify key parameters to conduct reference building survey and modeling.



Thank You!  
Questions?

# Building Energy Codes Development and Enforcement: Progress and Comparative Lessons

Sha Yu and Meredydd Evans

Pacific Northwest National Laboratory

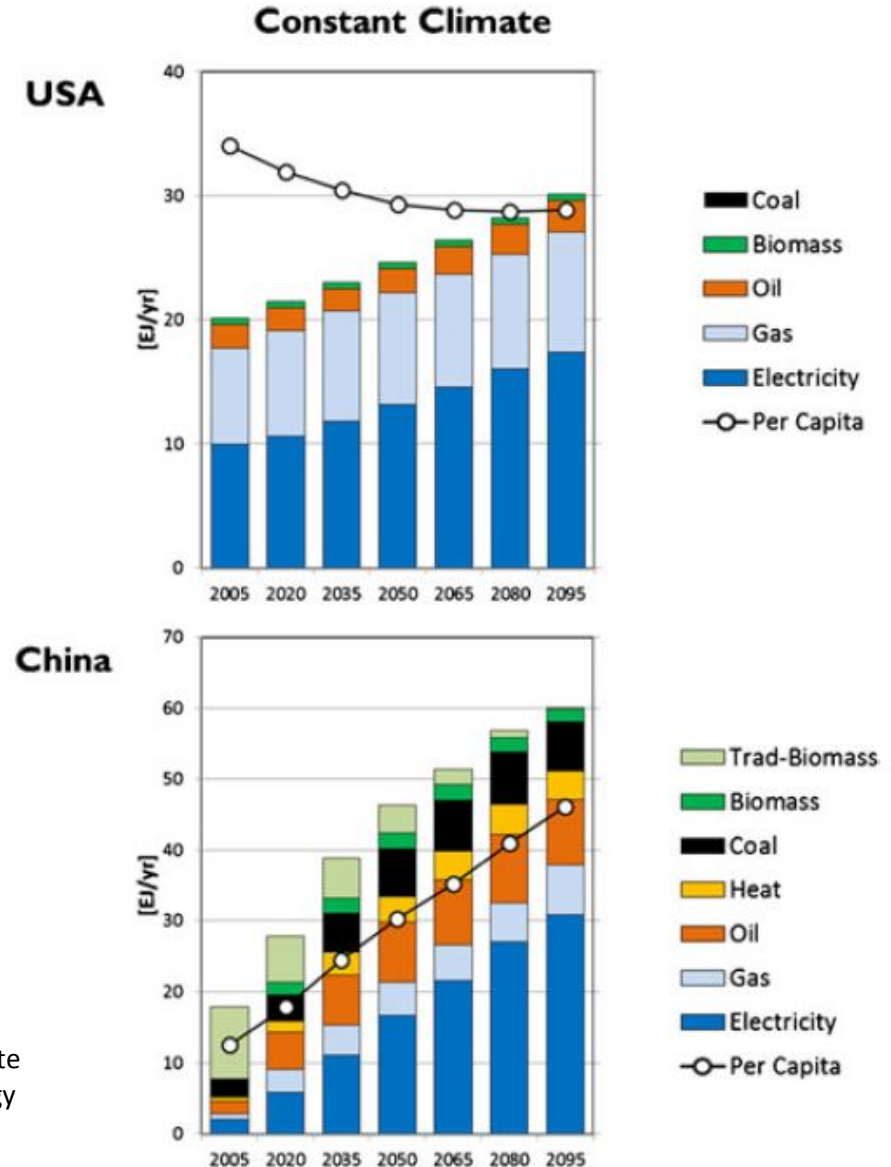
Fourth U.S.-China Energy Efficiency Forum  
September 25, 2013

- ▶ Building energy use and codes impacts
- ▶ Building codes development and enforcement
  - Rural energy code in China
  - Enforcement system in China and the U.S.
- ▶ Lessons learned
- ▶ Market opportunities

# Building Energy Demand in the U.S. and China

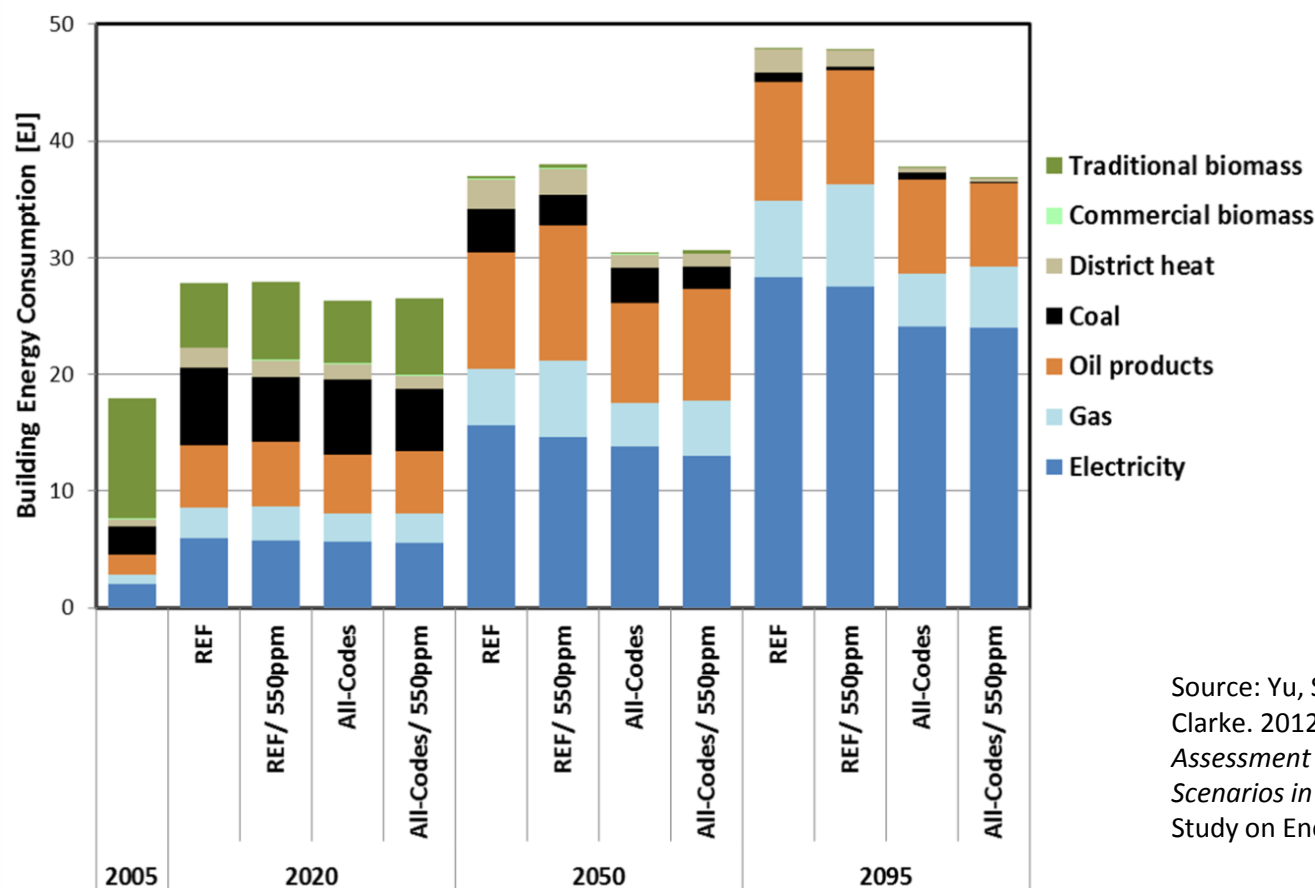
- ▶ Buildings in the U.S. and in China will experience increasing share of electricity during the 21<sup>st</sup> century.
- ▶ Per capita energy demand continuing to increase in China over the century, while per capita energy demand in the U.S. steadily decreasing.
- ▶ Overall development of fuel mix in the buildings sectors between the two countries will continue to be very different.

Source: Zhou, Y., Eom, J., and Clarke, L. (2013). The effect of global climate change, population distribution, and climate mitigation on building energy use in the U.S. and China. *Climatic Change*, 1-14.



# Impacts of building codes and climate policy in China

- ▶ Energy codes could significantly reduce building energy use.
- ▶ Economy-wide carbon policy has a limited effect on building energy demand and direct CO<sub>2</sub> emissions.
- ▶ High compliance is essential for any noticeable impact.



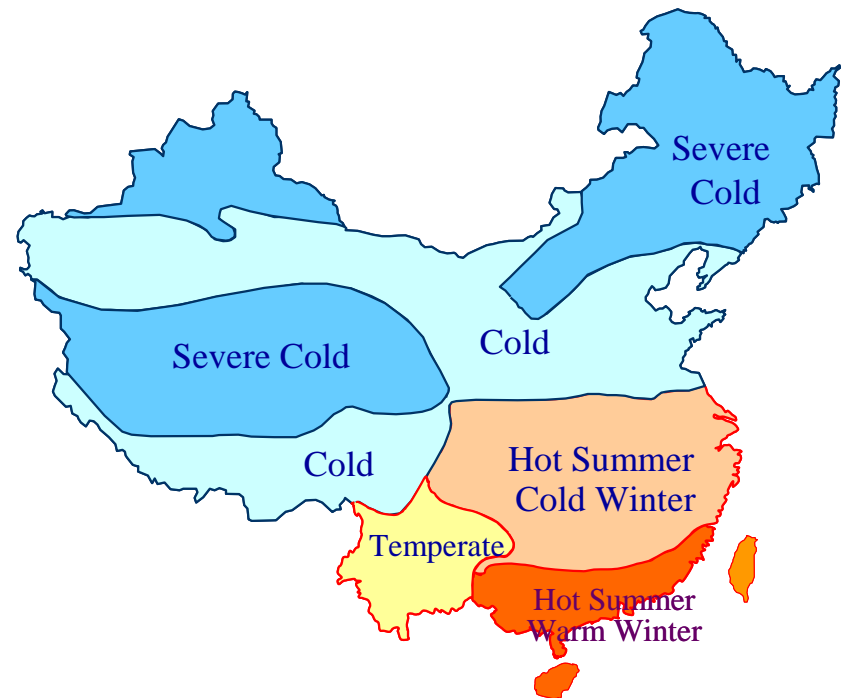
Source: Yu, S., J Eom, Y Zhou, M Evans, and L Clarke. 2012. *A Long-term, Integrated Impact Assessment of Alternative Building Energy Code Scenarios in China*. The 2012 ACEEE Summer Study on Energy Efficiency in Buildings.



# Building Energy Codes in China

- ▶ China began to adopt building energy codes in the 1980s.
- ▶ Now there is one code for commercial buildings.
- ▶ Three other codes cover large residential buildings in different climate zones: severe cold/cold, hot summer-cold winter and hot summer-warm winter.
- ▶ Energy code for rural buildings went into effective in May 2013.

Map of China's Climate Zones



# Energy Code for Rural Buildings

- ▶ Despite fast urbanization, rural buildings still account for a big share of total building stock in the near term (40% today and around 25% at 2030).
- ▶ Rural buildings demand more energy than urban buildings at least in the near term.
- ▶ Designs and energy uses in urban and rural buildings are different.



# Contents of the Rural Energy Code

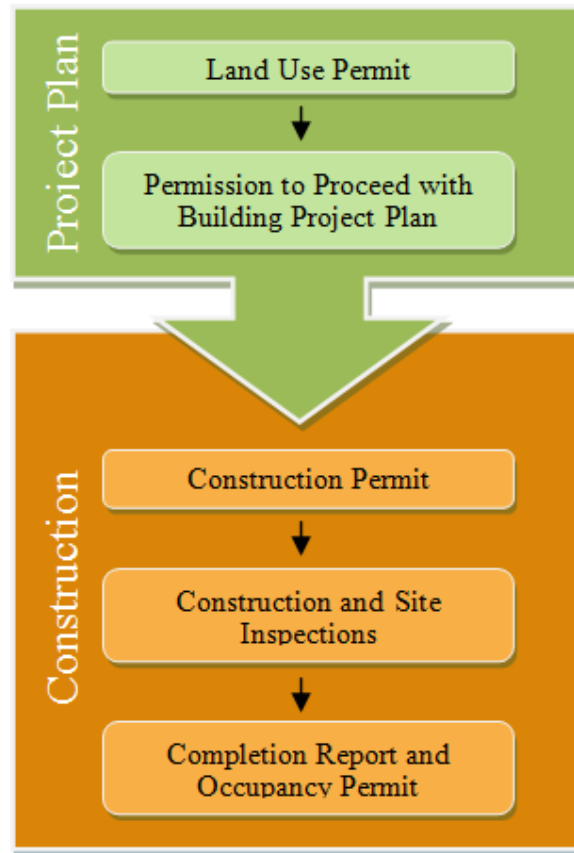
- ▶ Architectural layout and energy efficiency design
- ▶ Building envelope insulation
- ▶ Heating and ventilation system
- ▶ Lighting
- ▶ Renewable energy use
  - Solar, biomass, geothermal, etc.



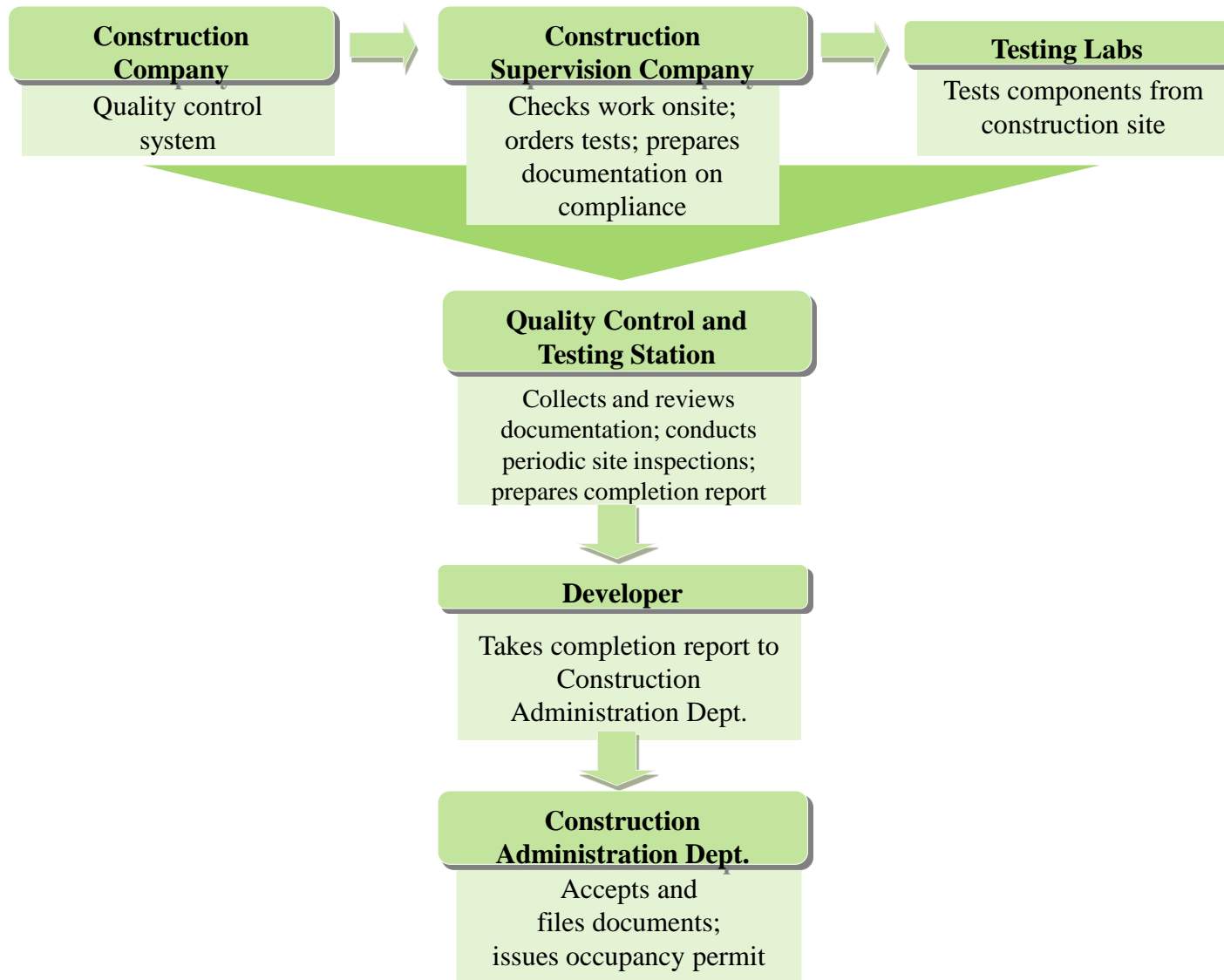
# Rural Building Energy Codes: Key Considerations

- ▶ Understanding building trends, stakeholders
- ▶ Making enforceable codes
  - Who will enforce?
  - How will buildings learn of and interpret requirements?
  - How can we build capacity and make this easy?
- ▶ Challenges of small buildings
  - Variety of buildings
  - Limited capacity
  - Wide variety of construction materials

## ► Key code enforcement steps in Chinese building construction



# Construction site inspection roles





## For China

- ▶ Enforcement in smaller towns and rural areas.
- ▶ Testing and ratings.
- ▶ Easier access to training and more user-friendly information.
- ▶ Increasingly rigorous codes.

## For the U.S.

- ▶ The extensive use of third parties in code compliance.
- ▶ The “industrialization” of the construction and code compliance processes.
- ▶ An example of integrating design and code compliance software.

- ▶ Codes help build demand for a range of building energy efficiency products like insulation, efficient windows and lighting.
- ▶ New post-occupancy and 'stretch' code requirements are also building the market for services like building commissioning, energy audits, and performance contracting.
- ▶ Because of their large impact on market deployment, codes can also make it easier for companies to obtain value from innovation and R&D.



Environmental Energy Technologies Division

Lawrence Berkeley National Laboratory

# Advanced Building Decision Tools Collaboration

Wei Feng

劳伦斯伯克利国家实验室

2013年9月

- **COMBAT: Commercial Building Analysis Tool for Energy Efficiency Retrofit**
- **VisualEPlus: A bilingual building simulation tool using *EnergyPlus***
- **DER-CAM: Distributed Energy Resource Customer Adoption Model**

# Commercial Building Analysis Tool for Energy-Efficient Retrofits (COMBAT)

**Purpose:** *Analyze commercial building retrofit energy savings and investment cost-effectiveness*

**Related Tools:** *EnergyPlus*

**Audience:** *Retrofit practitioners, policy makers, facility managers, and engineers (w/o building energy modeling knowledge)*

**Developer(s):** *China Energy Group at LBNL*

**Availability:** [http://china.lbl.gov/COMBAT\\_Tool](http://china.lbl.gov/COMBAT_Tool), English and Chinese, SI unit. Funded by Energy Foundation, Schneider-Electric, U.S. DOE.

**Limitations:** *Only applies to China's hot summer cold winter climate zone with hotel and shopping mall building types*

**Impacts summary:** *Trained 50+ people in Shanghai in 2012 including key policy makers. Retrofit of shopping mall or hotel can yield 20%~30% energy savings in Shanghai.*

**Potential:** *More building types and climate zones could be added to the tool. Work with universities (such as Tongji University) to develop and disseminate it.*

# Commercial Buildings Retrofit and COMBAT



## Background:

- Commercial (public) buildings retrofit is targeted to save 14 Mtce in China's 12<sup>th</sup> FYP.
- Central and local governments create large incentive programs for commercial building retrofit
- In Shanghai's Changning district, over 100 buildings need retrofit in the 12<sup>th</sup> FYP
- No tool existed for quick assessment of energy savings and investment cost-effective as the results of retrofit.

## Current impacts:

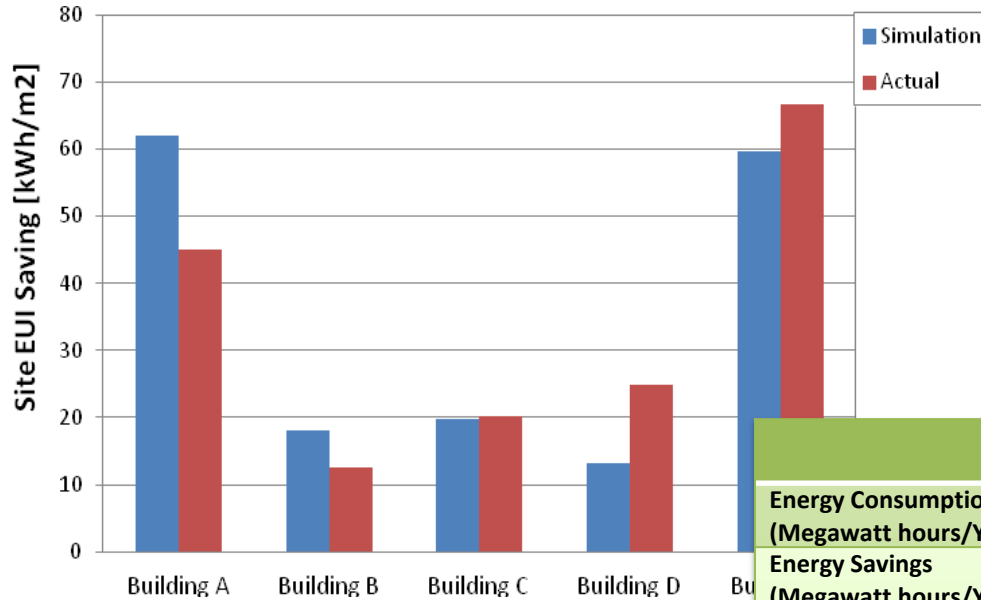
- COMBAT is developed with collaboration from Tongji University and NRDC Beijing office. The tool has been used for analysis in a few retrofit projects in Shanghai. A training workshop was held in Shanghai in 2012 to train Chinese local government officials, U.S. companies, and Chinese ESCOs on the use of the tool for their retrofit analysis.
- Provide training and work with ECP-China, U.S. companies (e.g. Trane, Autodesk, Schneider Electric) and Chinese ESCOs
- **Selected collaborators:** Tongji University, NRDC Beijing office, Shanghai Energy Conservation and Supervision Center
- **Selected project using COMBAT:** 4 shopping malls and 2 hotels have used COMBAT and compared its calculation results with measured results. More buildings are using the tool in Shanghai's Changning district.





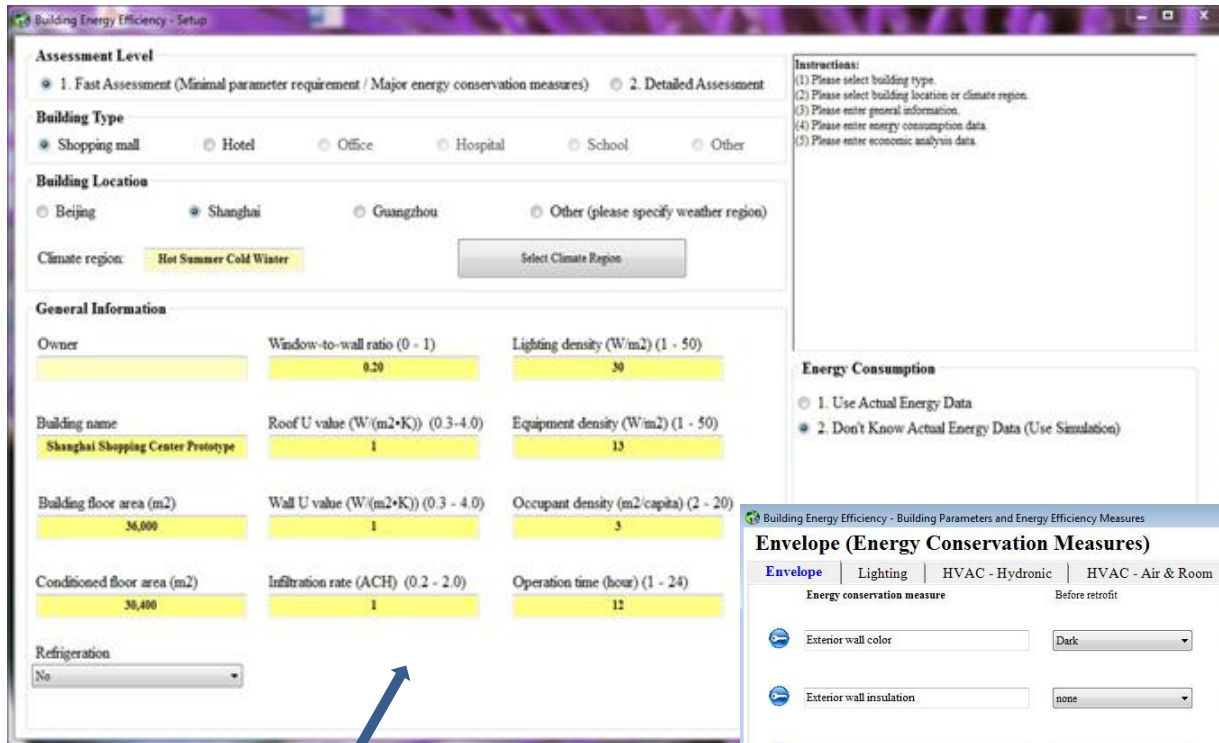
# COMBAT: Verifications of Chinese Buildings

- 4 shopping malls and 1 hotel
- Calculated savings in line with measured data



	Building A	Building B	Building C	Building D	Building E
<b>Energy Consumption Before Retrofit (Megawatt hours/Year)</b>	38,847	33,512	73,044	8,287	14,229
<b>Energy Savings (Megawatt hours/Year)</b>					
Calculated	7,902	1,950	4,952	419	3,337
Actual	5,737	1,364	5,033	795	3,723
Relative Error	-37.7%	-43.0%	1.6%	47.3%	10.4%
<b>Energy Saving Rates</b>					
Calculated	20.3%	5.8%	6.8%	5.1%	23.5%
Actual	14.8%	4.1%	6.9%	9.6%	26.2%
Absolute Error	-5.6%	-1.7%	0.1%	4.5%	2.7%
<b>Economic Benefits (RMB/Year)</b>					
Calculated	6,299,256	1,506,165	3,825,256	323,361.4	3,461,041
Actual	5,378,438	1,278,750	4,718,438	745,312.5	3,350,700
Relative Error	-17.1%	-17.8%	18.9%	56.6%	-3.3%

# COMBAT Demonstration: Inputs



Building Energy Efficiency - Setup

Assessment Level  
☒ 1. Fast Assessment (Minimal parameter requirement / Major energy conservation measures) ☐ 2. Detailed Assessment

Building Type  
☒ Shopping mall ☐ Hotel ☐ Office ☐ Hospital ☐ School ☐ Other

Building Location  
☐ Beijing ☒ Shanghai ☐ Guangzhou ☐ Other (please specify weather region)

Climate region: **Hot Summer Cold Winter**

General Information

Owner	Window-to-wall ratio (0 - 1)	Lighting density (W/m <sup>2</sup> ) (1 - 50)
	0.20	30
Building name	Roof U value (W/(m <sup>2</sup> ·K)) (0.3 - 4.0)	Equipment density (W/m <sup>2</sup> ) (1 - 50)
Shanghai Shopping Center Prototype	1	13
Building floor area (m <sup>2</sup> )	Wall U value (W/(m <sup>2</sup> ·K)) (0.3 - 4.0)	Occupant density (m <sup>2</sup> /capita) (2 - 20)
36,000	1	3
Conditioned floor area (m <sup>2</sup> )	Infiltration rate (ACH) (0.2 - 2.0)	Operation time (hour) (1 - 24)
30,400	1	12

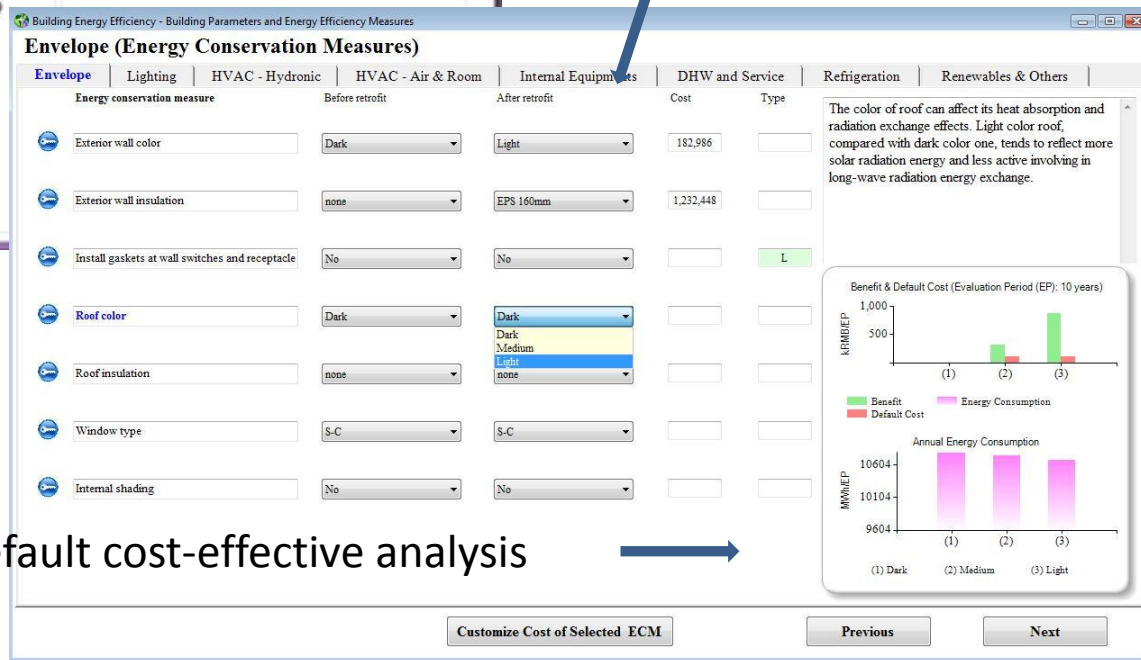
Refrigeration

Instructions:  
(1) Please select building type.  
(2) Please select building location or climate region.  
(3) Please enter general information.  
(4) Please enter energy consumption data.  
(5) Please enter economic analysis data.

Energy Consumption  
☐ 1. Use Actual Energy Data  
☒ 2. Don't Know Actual Energy Data (Use Simulation)

Retrofit measure inputs

Building characteristics inputs



Building Energy Efficiency - Building Parameters and Energy Efficiency Measures

Envelope (Energy Conservation Measures)

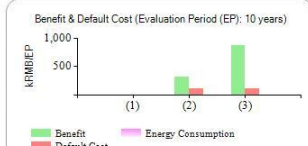
Energy conservation measure	Before retrofit	After retrofit	Cost	Type
Exterior wall color	Dark	Light	182,986	
Exterior wall insulation	none	EPS 160mm	1,232,448	
Install gaskets at wall switches and receptacle	No	No		L
Roof color	Dark	Dark Dark Medium Light none		
Roof insulation	none			
Window type	S-C	S-C		
Internal shading	No	No		

Customize Cost of Selected ECM

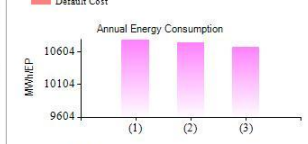
Previous Next

The color of roof can affect its heat absorption and radiation exchange effects. Light color roof, compared with dark color one, tends to reflect more solar radiation energy and less active involving in long-wave radiation energy exchange.

Benefit & Default Cost (Evaluation Period (EP): 10 years)

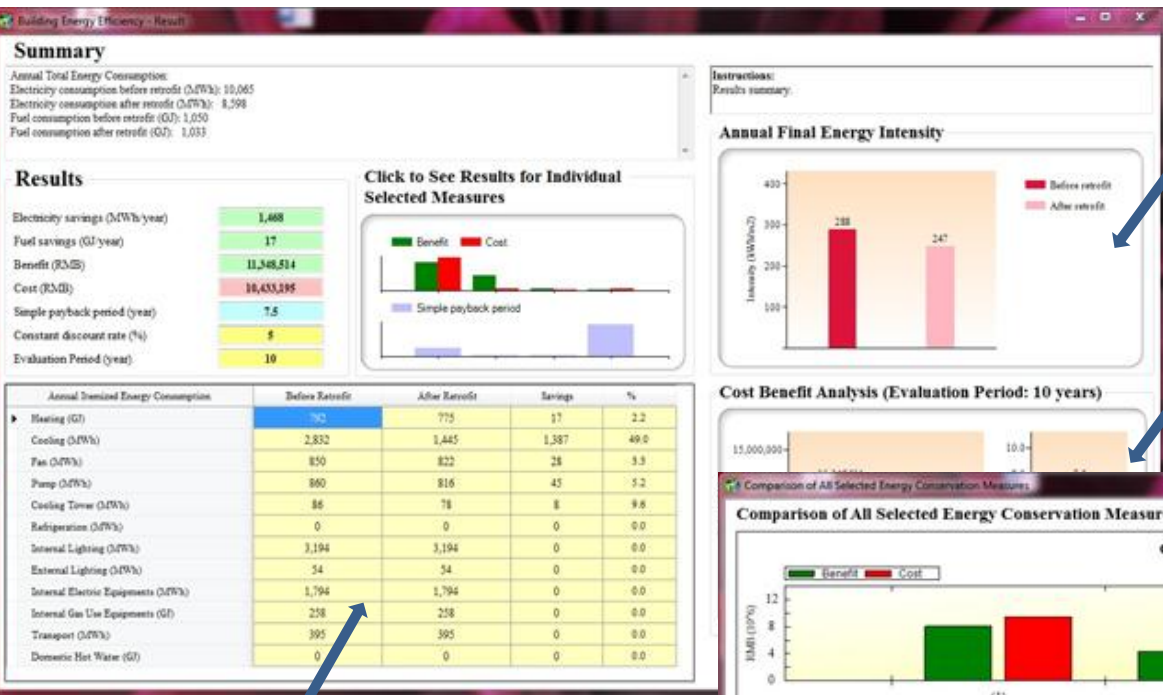


Annual Energy Consumption



Default cost-effective analysis

# COMBAT Demonstration: Outputs

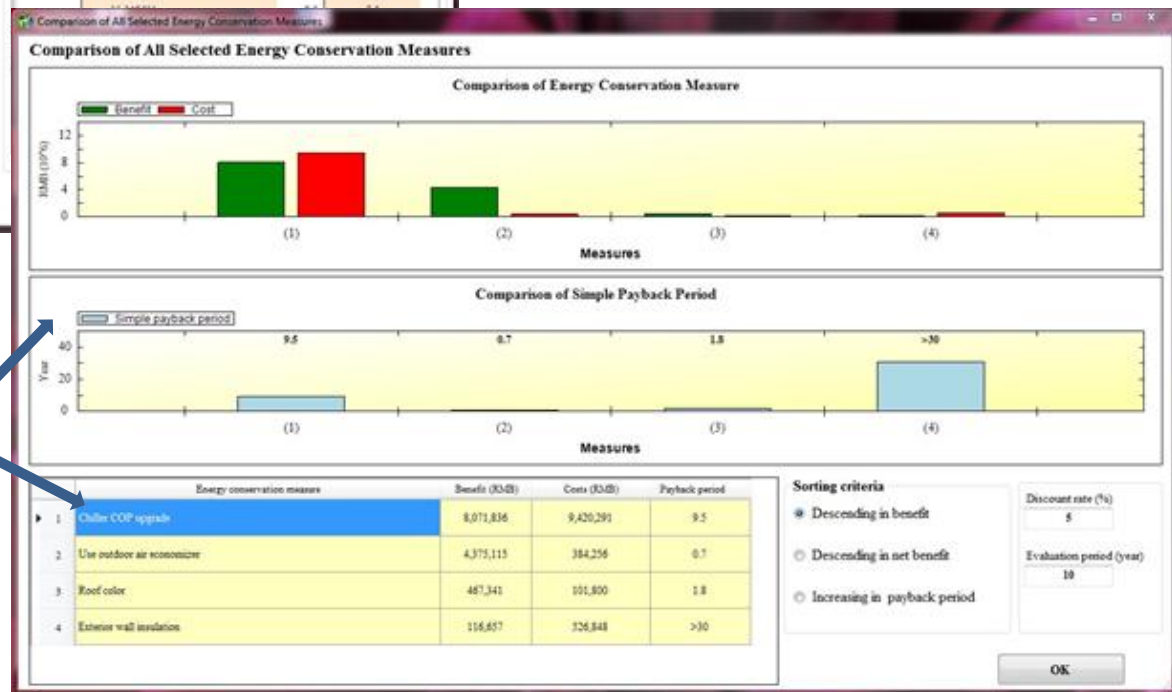


Energy savings analysis

Payback analysis

Energy savings analysis

Individual measure's cost-effective analysis



# Distributed Energy Resources Customer Adoption Model (DER-CAM)



**Purpose:** *produces optimal investment decisions and dispatch for technologies as fuel cells, PV, solar thermal, electric / heat storage, heat pumps, EVs, etc.; it minimizes annual energy costs, CO<sub>2</sub> emissions, or multiple objectives of providing services to buildings (~100-2000 kW peak)*

**Related Tools:** *none*

**Users:** *more than 350 DER-CAM web-clients to date for the simple investment version (multiple versions with different copyrights are available at <http://der.lbl.gov/der-cam/how-access-der-cam>, stochastic versions for EVS and other technologies as PV exist or are currently under design)*

**Developer(s):** *developed for 12 years by LBNL, collaboration with China and other countries*

**Availability:** *simplified investment web version via <https://microgrids2.lbl.gov/> (WebOpt); English and Chinese, SI units. Funded by US DOE, CEC*

**Limitations:** *not all features are provided in the simplified web version, in general more work is needed on passive measures*

**Impacts summary:** *40 online accounts from China, 73 online accounts from U.S.; University and teaching, building managers and operators, cost reductions up to 30% and CO<sub>2</sub> reductions up to 100% (in ZNEB mode) due to well guided decisions (see next page)*

**Potential:** *Expand functionality to be able to develop a district energy system optimization toolkit for China's low carbon district/city energy system analysis; cheap and simple real time building management service over the web (multiple requests from national and international companies)*



# Distributed Energy Research and Impacts

## Background:

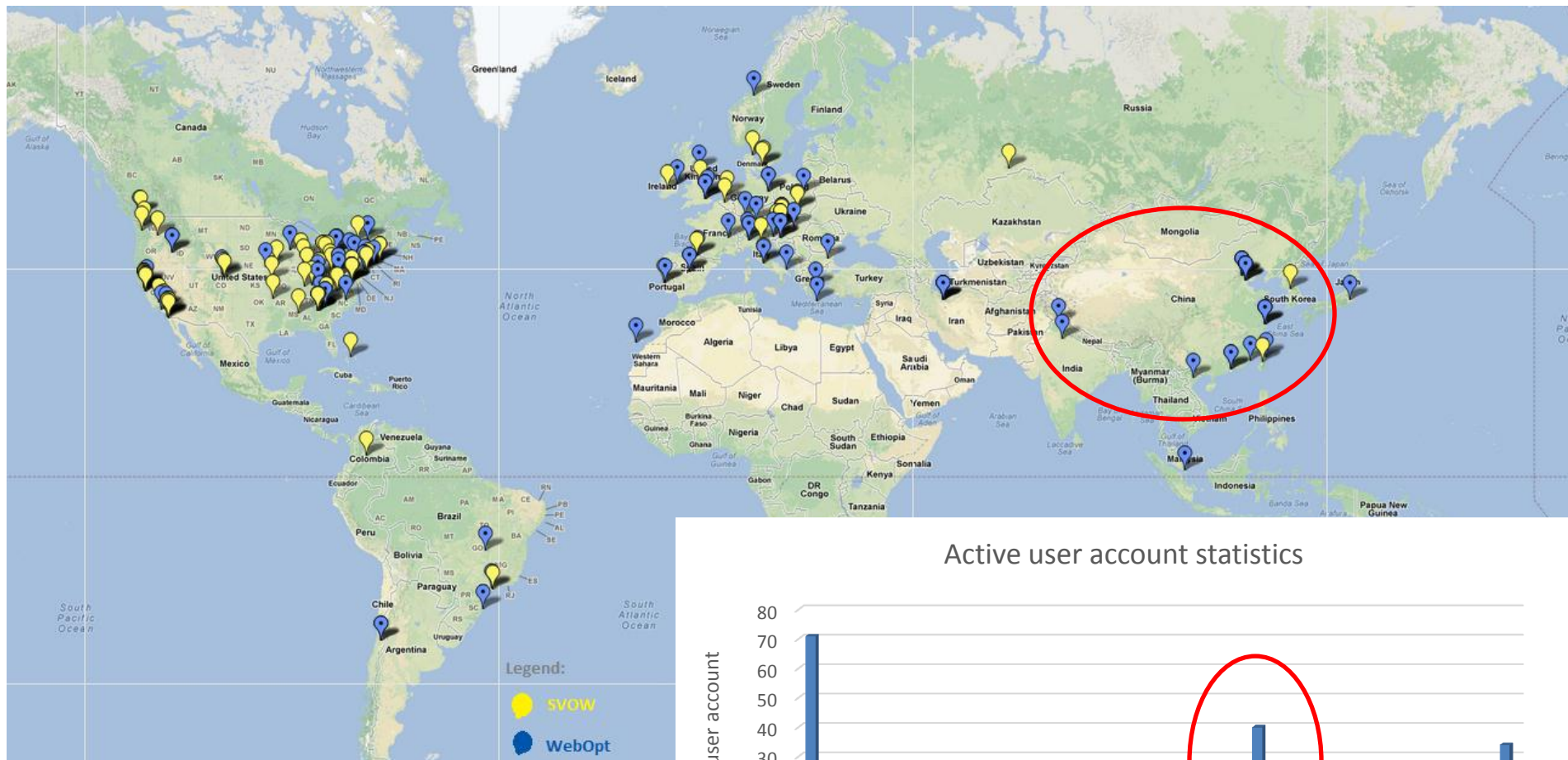
- China's 12<sup>th</sup> FYP highlights CHP and other distributed energy as one of its important energy goals
- 1000 distributed natural gas generation in the 12<sup>th</sup> FYP (each less than 5MW)
- NEA's 12<sup>th</sup> FYP states that 30 national-level microgrid demonstration projects will be built by the end of 2015
- China State Grid's new policy on distributed energy grid connection (less than 6MW)

## Current impacts:

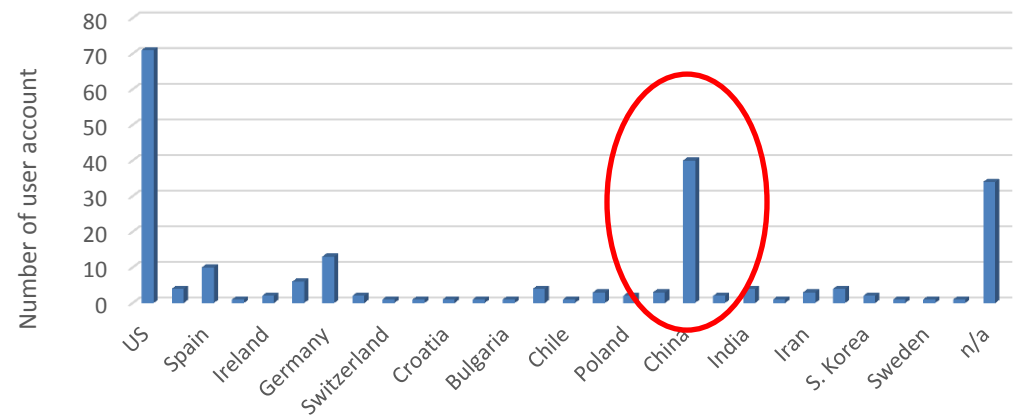
- LBNL is working with the Chinese Academy of Science (CAS) – Institute of Electrical Engineering (IEE), and NEA on the development criteria and evaluation methods for 12<sup>th</sup> FYP's 30 national-level microgrid demonstration projects by using the DER-CAM tool developed by LBNL.
- Work with ECP-China and U.S. DER companies (e.g. DOW, ICF, Capstone, Honeywell) on China projects.
- **Selected collaborators:** Tianjin University, Tongji University, Shanghai Energy Conservation and Supervision Center, Shenzhen Microgrid Lab, Shenzhen Institute of Building Research, CAS-IEE, Xiamen University, Hefei University
- **Selected DER and microgrid projects LBNL is working on using DER-CAM:** Shanghai Changning Central hospital, DongAo Island (Zhuhai, Guangdong), Tianjin University Microgrid Lab (MOST's 973 project), Shenzhen Microgrid Lab, Shanghai Chongming Island microgrid project



# DER-CAM : Active User Accounts Around the World

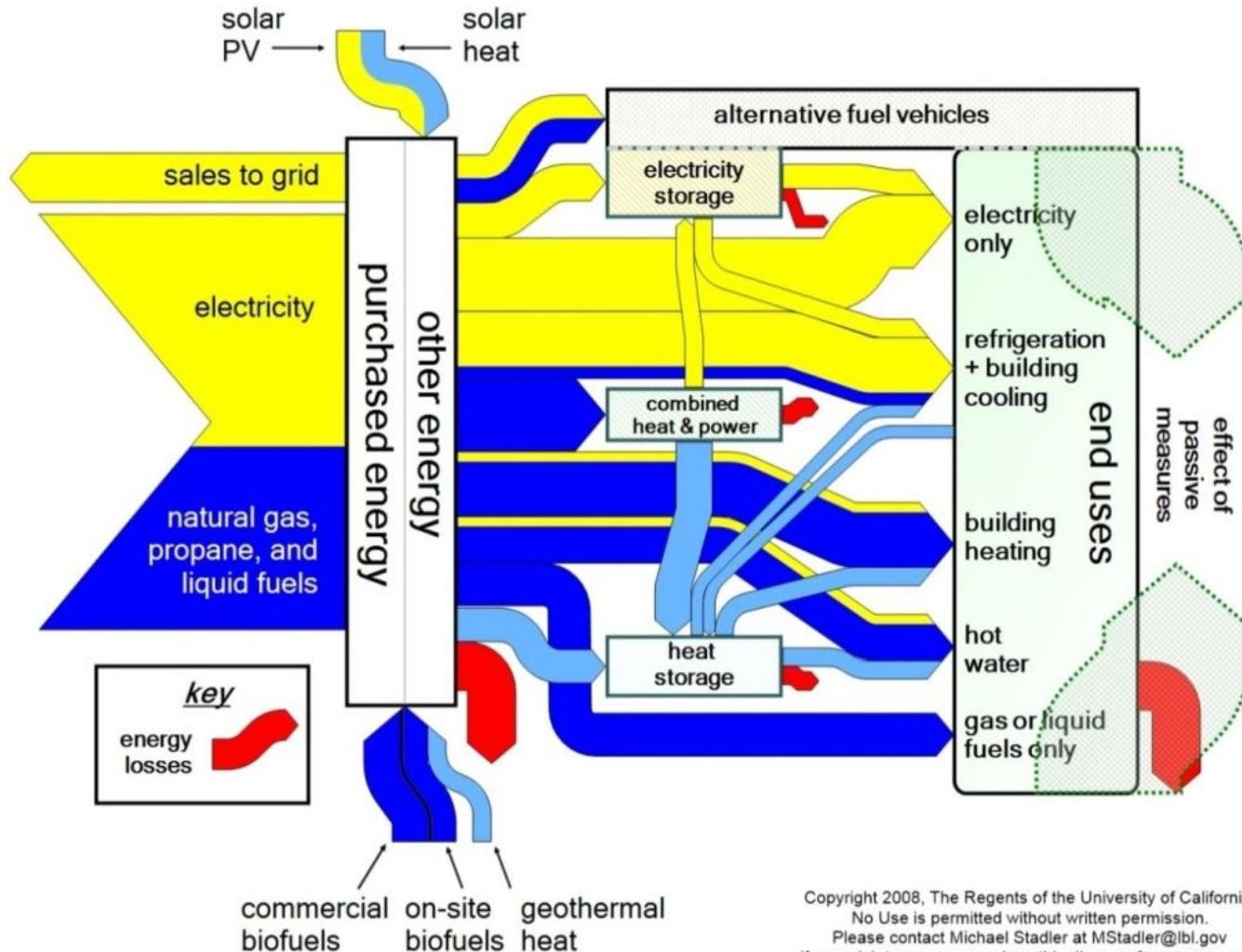


Active user account statistics

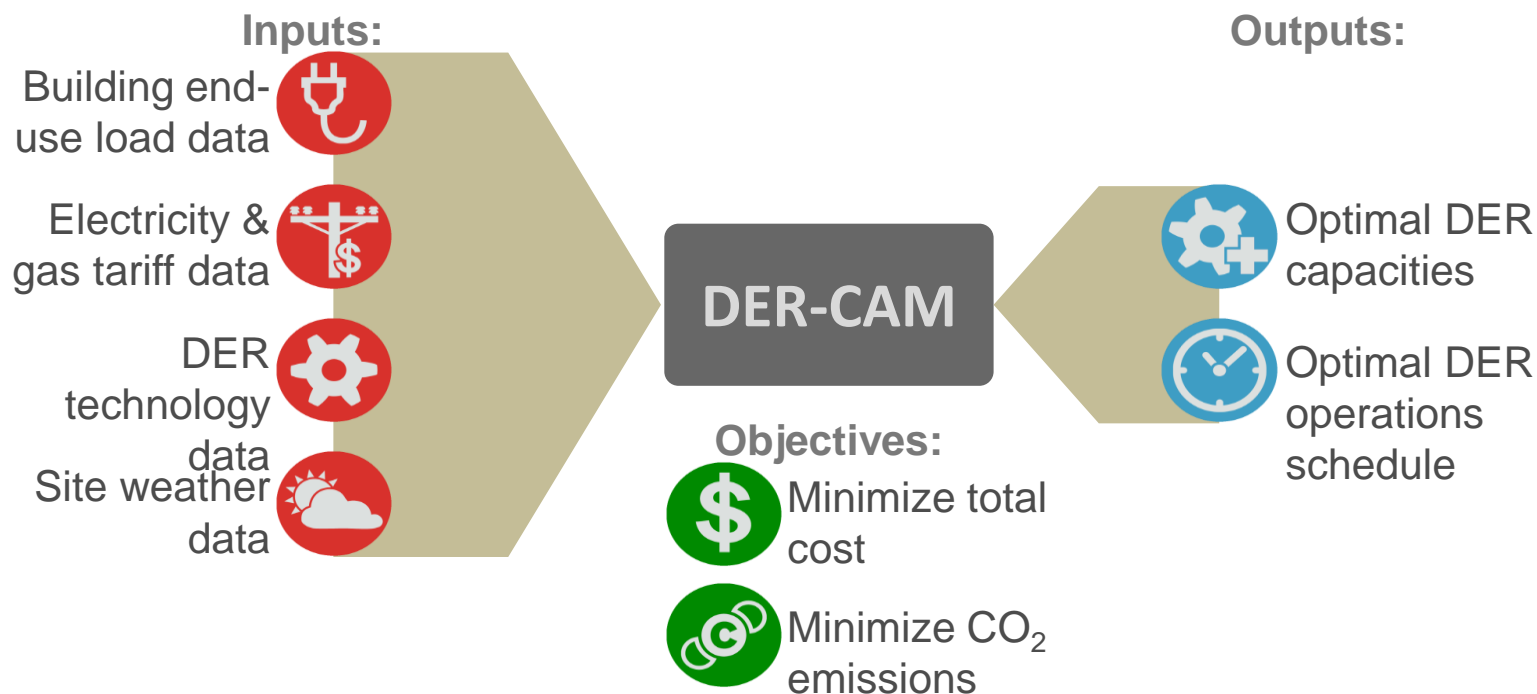


# DER-CAM Fundamentals -- Sankey Diagram

Sankey diagram shows how distributed energy is transport, converted and balanced



# DER-CAM Demonstration: Inputs



- **Investment & Planning:** determines optimal equipment combination and operation based on *historic* load data, weather, and tariffs
- **Operations:** determines optimal week-ahead scheduling for installed equipment and *forecasted* loads, weather and tariffs



# DER-CAM Demonstration: Outputs

Distributed Energy Resources (DER) Web Optimization Service (WebOpt)

档案 帮助

运算最优化

开始

概要/最佳化仿真设定 建筑物能耗负荷 公共事业能耗费用 能源技术 需求响应 太阳辐射 大电网二氧化碳的边际排放因子 结果

优化设定

☒ 分布式能源投资

- ☒ NG powered DER and CHP
- ☒ Electric storage
- ☒ Heat storage
- ☒ Absorption chiller
- ☒ Absorption refrigeration
- ☒ PV
- ☒ Solar thermal
- ☐ Demand response
- ☐ Air source HP
- ☐ Ground source HP
- ☒ Existing electric chiller

☐ 什么都不做(无分布式能源投资,建筑能耗完全由公共事业侧供应,采用天然气锅炉和电冷水机组)

☐ 在结果文件中显示投资回收期

☐ 显示高级输入选项

最佳化的目标

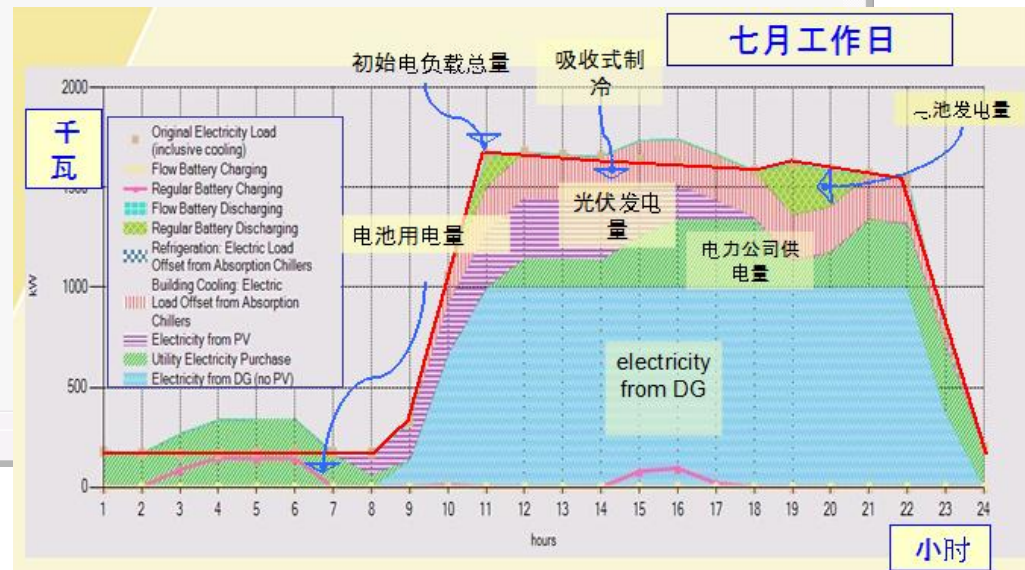
☒ 成本最低化

☐ 二氧化碳排放最低优化

请注意由于二氧化碳的排放最小优化计算,我们通常设定一个最大能接受的投资数值上限。请检查“显示高级输入选项”。如果需要,可改变高级的输入选项。

数据库更新: 需要注意的是,在加载配置文件的同时,也会加载费率、负荷文件以及与之所保存的配置文件相关的数据库信息。如果该配置文件刚保存不久,数据库有可能会与实际的WebOpt主要数据库有所不同。换句话说,数据的更新,如技术的更新不会体现在保存过的配置文件中。

放弃所有更改



# VisualEPlus 2.0 Introduction



**Purpose:** *a GUI in Chinese and English for the **EnergyPlus** program, focusing on graphical modeling of HVAC system, and analysis of output results.*

**Related Tools:** *no other similar GUI in Chinese; intended to be used in conjunction with **Open Studio** for building modeling.*

**Users:** *building energy practitioners, academia, and students interested in building energy simulation with **EnergyPlus**, particularly in China.*

**Developer(s):** *Tongji University (lead) and Shandong University in collaboration with White Box Technologies, Inc. and ORNL.*

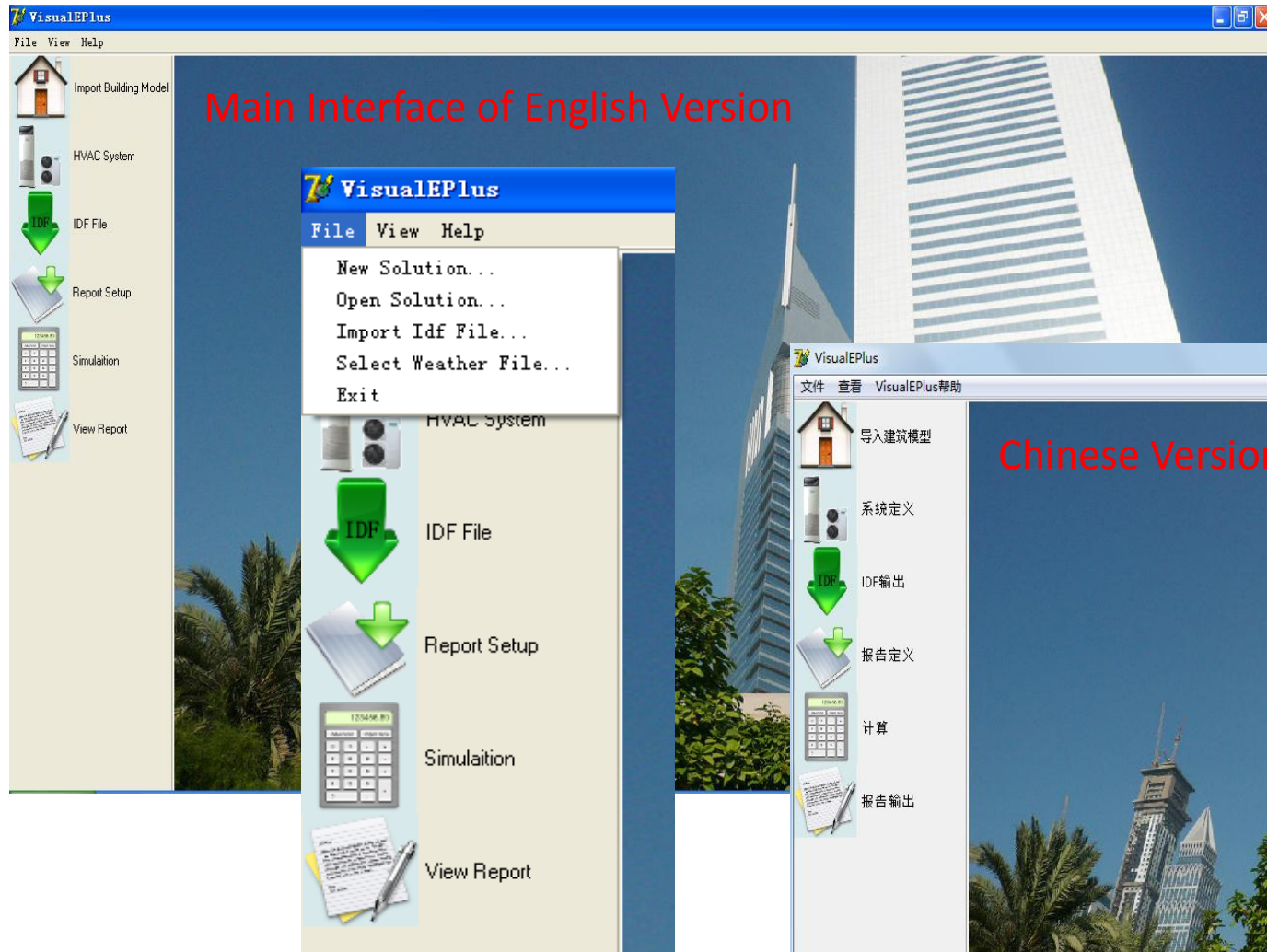
**Availability:** *Chinese version launched November 2012 <http://bsim.tongji.edu.cn/custom.asp?mk=1&id=244>; English version planned launch June 2013 to be available at [www.whiteboxtechnologies.com](http://www.whiteboxtechnologies.com) and ORNL for users outside of China.*

**Limitations:** *Simulation GUIs are very complicated software; to limit effort and meet greatest need, **VisualEPlus 2.0** concentrates on two areas only – HVAC modeling and report analysis; program is still “buggy” with many areas for improvement.*

**Impacts:** ***VisualEPlus** has been introduced at conferences in China, US and Canada starting since 2009 (**HVAC Simulation Seminar** Beijing Nov 2009, **SimBuild 2010** New York Aug 2010, **IMECE 2010** Vancouver Nov 2010, **ASim2012** Shanghai Nov 2012).*

**Potential:** ***VisualEPlus** makes **EnergyPlus** acceptable to the Chinese building energy modeling community, which can then play an important role in building energy efficiency design, standards development, and R&D in China, just as **EnergyPlus** does in the US.*

# VisualEPlus2.0 Demonstration: Inputs



Main Interface of English Version

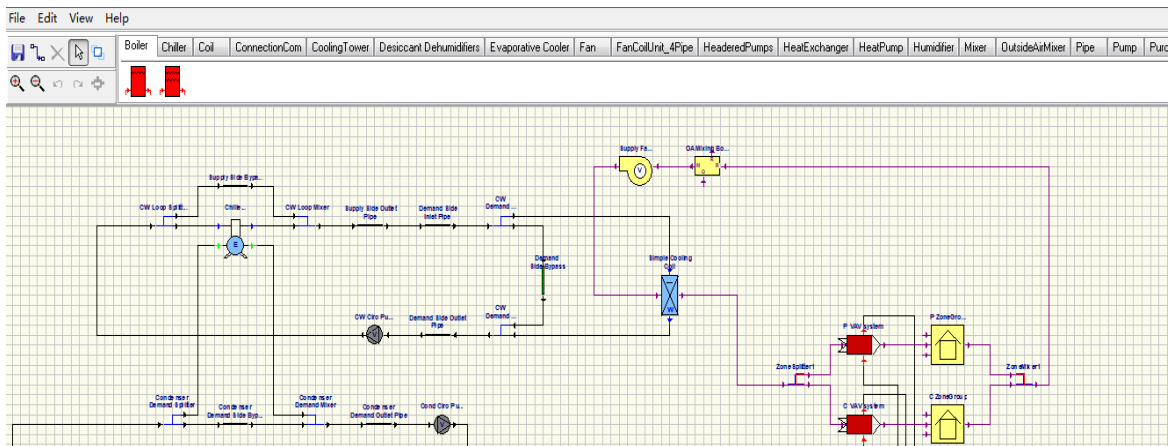
Drop-down Menu for Operation Procedures

Building model needs to be generated by other programs (i.e., Open Studio) & loaded into VisualEPlus 2.0



Chinese Version

# VisualEPlus2.0 Demonstration: HVAC Modeling GUI



English Menu

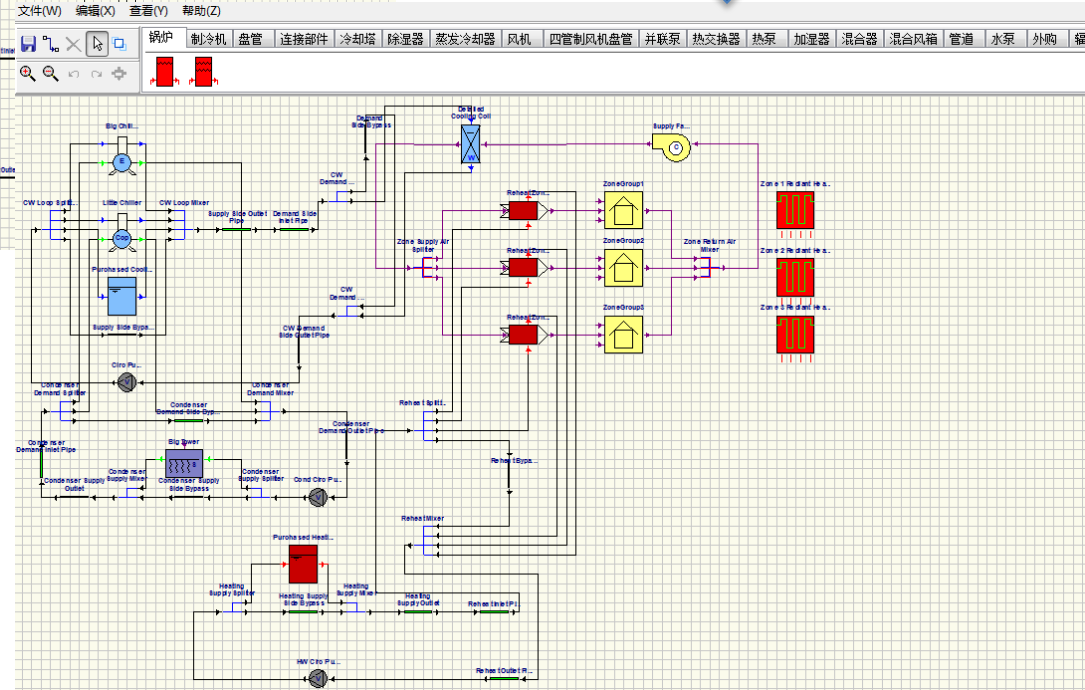
- “Drag-and-drop” style graphical modeling for HVAC systems
- 109 HVAC components in 28 categories
- 11 HVAC templates



## VisualEPlus 用户手册 User Menu

版本: 2.0

Chinese Interface





# VisualEPlus2.0 Demonstration: Output

Report Variable

Items	1	2	3	4	5	6
Variable Name	Total Electric Demand	Electric Consumer	Electric Consumer	Chiller Cooling	Chiller Cooling	Chiller Cooling
Report Frequency	Monthly	Monthly	RunPeriod	Monthly	RunPeriod	

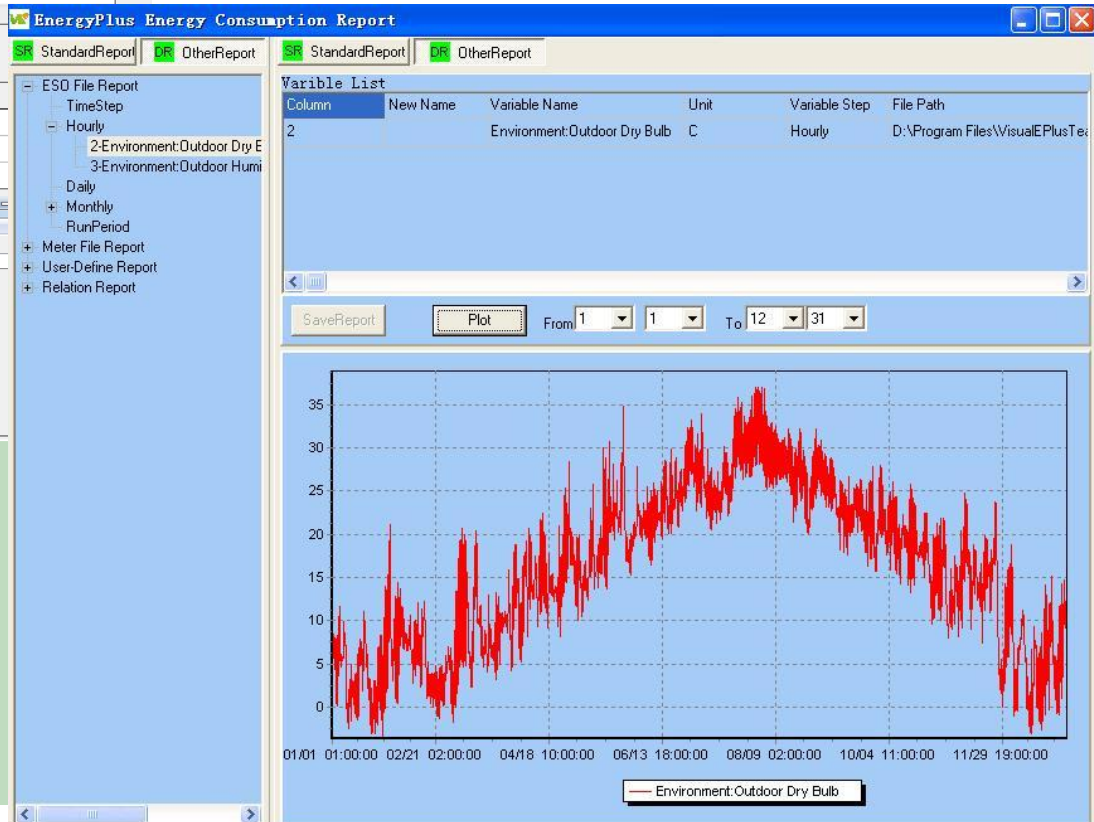
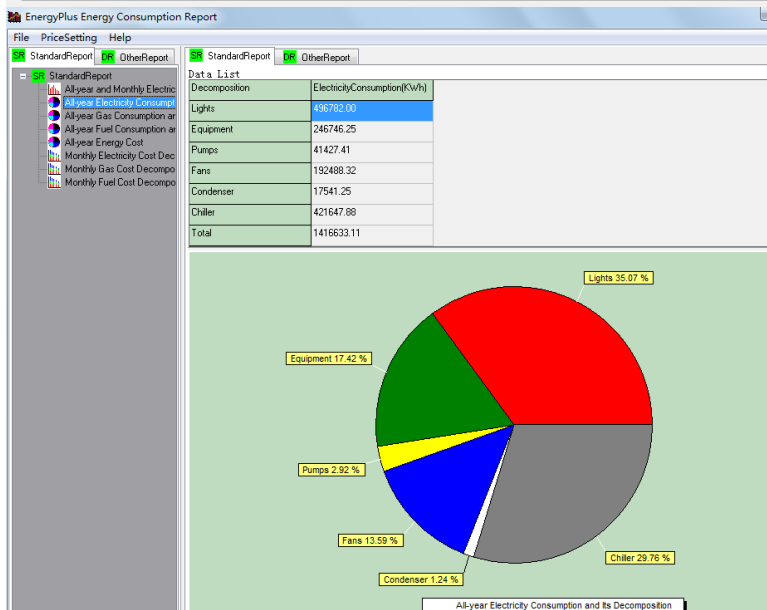
Meter Variable

Items	1	2	3	4	5	6
Meter Name	Electricity:Facility	Electricity:Facility	Electricity:Building	InteriorLights:Electricity	InteriorEquipment:Electricity	Electricity:Plant
Report Frequency	Hourly	Monthly	Monthly	Monthly	Monthly	Monthly

RunPeriod

Items	1	2	3	4	5
Start Date	2013-1-1				
End Date	2013-12-31				

Visualize simulation result in both standard and customized reports for user selected variables, during user selected time periods, and at various time intervals



Thank You!  
Questions?